

INVESTMENT QUESTIONNAIRE - NATURAL PERSON

Name, surname	
Date of birth	

Client's address:

Street	
Town	
Postal code	
CIF	

Date	
Processed by	
Processor's ID	

(hereinafter "the Client")

UniCredit Bank Czech Republic and Slovakia, a.s., registered office in Želetavská 1525/1, 140 92, Prague 4-Michle, identification number (IČO): 64948242, entered in the Commercial Register maintained by the Municipal Court in Prague, Section B, file 3608 (hereinafter "the Bank") is obliged under the provisions of Act No. 256/2004 Coll., on capital market undertakings, as amended (hereinafter "the Act"), in particular the provisions of Section 15h and Section 15i of the Act, to obtain information about the Client's required professional knowledge and experience of investing (or Client's financial background and investment objectives). In doing so, the Bank shall base itself on this Investment Questionnaire. Information requested by the Bank from the Client in this Investment Questionnaire will serve the Bank to proceed in the best qualified, honest and fair manner and in the Client's best interests at providing its investment services and offering financial instruments.

Investment Questionnaire questions

Referring to the above explained reasons, the Bank hereby asks the Client to provide her/his full, exact and true answers to the following questions. If the Client does not fully understand any question or if she/he is not certain as to its sense or formulation, the Bank will provide her/him with all the necessary explanations.

APPROPRIATENESS

Education

1.1 What is your highest level of education attained?

- a. Bachelor's or Master's degree from economics / law / technical education with focusing on finance
- b. Bachelor's or Master's degree from economics / mathematics / technical education / law without focusing on finance
- c. Degree from other areas / secondary school with leaving certificate
- d. Secondary school without leaving certificate/Elementary school
- e. None of above mentioned

Profession

1.2 In which of the below areas did you/do you work?

- a. Economics / Finance / Law, with focusing on finance
- b. Economics / Finance / Law, without focusing on finance
- c. Self-employed / independent member of special professional register or small entrepreneur
- d. Other

Level of knowledge / awareness of development of financial market

1.3 Do you follow financial market development?

- a. I do, at least once per week
- b. I do, at least once per month
- c. Never

Knowledge

2.1 A yield rate of any asset always involves a certain degree of risk. Based on your knowledge, please, mark the true statement.

- a. Return of investment is absolutely independent on risk level
- b. Low return is always linked with high risk
- c. Potentially high expected return is usually linked with equally high risk
- d. I do not know

2.2 Financial investment diversification is used to reduce the investment portfolio risk. Which of the below option, in your opinion, will provide for a more effective diversification?

- a. Investment in shares of 10 companies from different branches
- b. Investment in shares of 10 companies from the same branch
- c. Investment in 2 shares, branch does not matter
- d. I do not know

2.3 An investment denominated in foreign exchange involves additional exchange rate risk resulting from the exchange rate movements between the domestic (CZK) and foreign currency. Which of the below options, in your opinion, involves an exchange rate risk?

- a. Investments in government issued bonds denominated in USD
- b. Bonds issued in USA denominated in CZK
- c. Investments in shares of Czech company denominated in CZK
- d. I do not know

Experience

3.1 Which of the below listed instruments do you have experience of?

3.1.1 Money market funds, Bonds, Bond funds

YES NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?

3.1.2 Stock, Equity funds, Mixed funds

YES NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?

3.1.3 Structured bonds, Closed-end unit trusts

YES NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?

3.1.4 Unsecured investment certificates (Index, Express, Bonus etc.)

YES NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?

3.1.5 Treasury products (Derivatives, IRS, Swaps)

YES NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?
