

## INVESTMENT QUESTIONNAIRE - LEGAL PERSON

Name, surname, corporate name	
Date of birth	

**Client's address:**

Street	
Town	
Postal code	
CIF	

Date	
Processed by	
Processor's ID	

(hereinafter "**the Client**")

UniCredit Bank Czech Republic and Slovakia, a.s., registered office in Želetavská 1525/1, 140 92, Prague 4-Michle, identification number (IČO): 64948242, entered in the Commercial Register maintained by the Municipal Court in Prague, Section B, file 3608 (hereinafter "**the Bank**") is obliged under the provisions of Act No. 256/2004 Coll., on capital market undertakings, as amended (hereinafter "**the Act**"), in particular the provisions of Section 15h and Section 15i of the Act, to obtain information about the Client's required professional knowledge and experience of investing (or Client's financial background and investment objectives). In doing so, the Bank shall base itself on this Investment Questionnaire. Information requested by the Bank from the Client in this Investment Questionnaire will serve the Bank to proceed in the best qualified, honest and fair manner and in the Client's best interests at providing its investment services and offering financial instruments.

**Investment Questionnaire questions**

Referring to the above explained reasons, the Bank hereby asks the Client to provide her/his full, exact and true answers to the following questions. If the Client does not fully understand any question or if she/he is not certain as to its sense or formulation, the Bank will provide her/him with all the necessary explanations.

### APPROPRIATENESS

#### Education

**1.1 What is the highest level of education attained by the authorized persons?**

- a. Bachelor's or Master's degree from economics / law / technical education with focusing on finance
- b. Bachelor's or Master's degree from economics / mathematics / technical education / law without focusing on finance
- c. Degree from other areas / secondary school with leaving certificate
- d. Secondary school without leaving certificate/Elementary school
- e. None of above mentioned


#### Profession

**1.2 Has the authorised person worked in the financial field recently?**

- a. Yes
- b. No


**1.3 Is there an organisational unit/function within your Company which is focused on financial activities and experienced in financial instruments and/or portfolio management?**

- a. Yes
- b. No


#### Experience

**3.1 Which of the below listed instruments do you have experience of?**

**3.1.1 Money market funds, Bonds, Bond funds**

**YES NO**

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?


**3.1.2 Stock, Equity funds, Mixed funds**

**YES NO**

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?


**3.1.3 Structured bonds, Closed-end unit trusts**

**YES NO**

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

- Have more than 3 years elapsed since your first transaction?
- Has the total of your executed transactions been more than CZK 50,000?


**3.1.4 Unsecured investment certificates (Index, Express, Bonus etc.)**

YES	NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10


Have more than 3 years elapsed since your first transaction?

Has the total of your executed transactions been more than CZK 50,000?


**3.1.5 Forwards and swaps (currency, cross-currency, commodity, interest rate, ...)**

YES	NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10


Have more than 3 years elapsed since your first transaction?

Has the total of your executed transactions been more than CZK 50,000?


**3.1.6 Options (commodity, interest rate, currency, ...)**

YES	NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10


Have more than 3 years elapsed since your first transaction?

Has the total of your executed transactions been more than CZK 50,000?
