

INVESTMENT QUESTIONNAIRE - LEGAL PERSON

Name, surname, corporate name	
Date of birth	

Client's address:

Street	
Town	
Postal code	
CIK	

Date	
Processed by	
Processor's ID	

(hereinafter "the Client")

UniCredit Bank Czech Republic and Slovakia, a.s., registered office in Želetavská 1525/1, 140 92, Prague 4-Michle, identification number (IČO): 64948242, entered in the Commercial Register maintained by the Municipal Court in Prague, Section B, file 3608 (hereinafter "the Bank") is obliged under the provisions of Act No. 256/2004 Coll., on capital market undertakings, as amended (hereinafter "the Act"), in particular the provisions of Section 15h and Section 15i of the Act, to obtain information about the Client's required professional knowledge and experience of investing (or Client's financial background and investment objectives). In doing so, the Bank shall base itself on this Investment Questionnaire. Information requested by the Bank from the Client in this Investment Questionnaire will serve the Bank to proceed in the best qualified, honest and fair manner and in the Client's best interests at providing its investment services and offering financial instruments. The Bank further uses the information obtained by the Investment Questionnaire to assess the compatibility of the instrument with the Client's needs, characteristics and objectives.

Investment Questionnaire questions

Referring to the above explained reasons, the Bank hereby asks the Client to provide her/his full, exact and true answers to the following questions. If the Client does not fully understand any question or if she/he is not certain as to its sense or formulation, the Bank will provide her/him with all the necessary explanations.

APPROPRIATENESS

Education

1.1 What is the highest level of education attained by the authorized persons?

- a. Bachelor's or Master's degree from economics / law / technical education with focusing on finance
- b. Bachelor's or Master's degree from economics / mathematics / technical education / law without focusing on finance
- c. Degree from other areas / secondary school with leaving certificate
- d. Secondary school without leaving certificate/Elementary school
- e. None of above mentioned

Profession

1.2 Has the authorised person worked in the financial field recently?

- a. Yes
- b. No

1.3 Is there an organisational unit/function within your Company which is focused on financial activities and experienced in financial instruments and/or portfolio management?

- a. Yes
- b. No

Experience

3.1 Which of the below listed instruments do you have experience of?

3.1.1 Money market funds, Bonds, Bond funds

YES	NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

Have more than 3 years elapsed since your first transaction?

Has the total of your executed transactions been more than CZK 50,000?

3.1.2 Stock, Equity funds, Mixed funds

YES	NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

Have more than 3 years elapsed since your first transaction?

Has the total of your executed transactions been more than CZK 50,000?

3.1.3 Structured bonds, Closed-end unit trusts

YES	NO

Total number of transactions:

- a. Less than 5
- b. More than 5
- c. More than 10
- d. I use regular investments

Have more than 3 years elapsed since your first transaction?

Has the total of your executed transactions been more than CZK 50,000?

3.1.4 Unsecured investment certificates (Index, Express, Bonus etc.)			YES	NO
Total number of transactions:				
a. Less than 5	<input type="text"/>	Have more than 3 years elapsed since your first transaction?	<input type="text"/>	<input type="text"/>
b. More than 5	<input type="text"/>	Has the total of your executed transactions been more than CZK 50,000?	<input type="text"/>	<input type="text"/>
c. More than 10	<input type="text"/>			

3.1.5 Forwards and swaps (currency, cross-currency, commodity, interest rate, ...)			YES	NO
Total number of transactions:				
a. Less than 5	<input type="text"/>	Have more than 3 years elapsed since your first transaction?	<input type="text"/>	<input type="text"/>
b. More than 5	<input type="text"/>	Has the total of your executed transactions been more than CZK 50,000?	<input type="text"/>	<input type="text"/>
c. More than 10	<input type="text"/>			

3.1.6 Options (commodity, interest rate, currency, ...)			YES	NO
Total number of transactions:				
a. Less than 5	<input type="text"/>	Have more than 3 years elapsed since your first transaction?	<input type="text"/>	<input type="text"/>
b. More than 5	<input type="text"/>	Has the total of your executed transactions been more than CZK 50,000?	<input type="text"/>	<input type="text"/>
c. More than 10	<input type="text"/>			

PRODUCT GOVERNANCE REQUIREMENTS

Financial situation with taking into account the ability to bear losses

4.1 What loss are you able to bear without having serious financial problems in case the loss really happens?		
a. I am not able to bear any loss		<input type="text"/>
b. I am able to bear maximum 10% loss of the invested amount		<input type="text"/>
c. I am able to bear maximum 25% loss of the invested amount		<input type="text"/>
d. I am able to bear maximum 50% loss of the invested amount		<input type="text"/>
e. I am able to bear maximum 100% loss of the invested amount		<input type="text"/>
f. I am able to bear maximum 100% loss of the invested amount including requirements for additional payments		<input type="text"/>

Risk tolerance

4.2 What volatility of your investment are you willing to accept?		
a. I am not willing to accept even a minimum short-term decline in the value of my investment		<input type="text"/>
b. I expect very low risk and low volatility of my investment		<input type="text"/>
c. I invest, because I want to receive a higher return and I am aware of possible higher volatility of my investment		<input type="text"/>
d. I prefer high returns and I am willing to take a risk even at the cost of extreme volatility of investment		<input type="text"/>

Investment objectives

4.3 For what purpose do you invest?		
a. Keep money for future needs, investing to preserve the value of money		<input type="text"/>
b. Investing to receive a positive return with a reasonable level of risk		<input type="text"/>
c. Investing to receive a high return of investment with an acceptance of higher risk		<input type="text"/>
d. Speculation – possibility to receive a high return even at the cost of the loss of the invested amount		<input type="text"/>

Liquidity

4.4 What liquidity do you expect from your investments?		
a. Low		<input type="text"/>
b. Medium		<input type="text"/>
c. High		<input type="text"/>

Investment horizon

4.5 What is your time horizon that you intend to retain your investment?		
a. Cash is needed shortly (up to 1 year)		<input type="text"/>
b. Possibility to invest money for up to 3 years		<input type="text"/>
c. Possibility to invest money for more than 3 years		<input type="text"/>
d. Possibility to invest money for more than 5 years		<input type="text"/>