

INVESTMENT QUESTIONNAIRE – INDIVIDUAL

Name, surname,
business name

Date of birth

Client's address

Street

Town or city

Postal code

Client's internal number (NDG)

(hereinafter just the "Client")

Date

Processed by

Processor's ID

UniCredit Bank Czech Republic, a.s., office at Prague 4 – Michle, Želetavská 1525/1, Postal Code 140 92, ID No.: 649 48 242, entered in the Commercial Register maintained by the Municipal Court in Prague, Section B, file 3608 (hereinafter just the "Bank") is obliged under the provisions of Act No. 256/2004 Coll., with its registered on Capital Market Undertakings, as subsequently amended (hereinafter just the "Act"), and in particular by the provisions of sections 15h and 15i of the Act, to obtain information about the Client's requisite expert knowledge and experience in investing (or, as the case may be, his or her financial background and investment objectives). The Bank does so on the basis of this Investment Questionnaire. Information requested by the Bank from the Client in this Investment Questionnaire will help the Bank proceed in the most qualified, honest and proper manner, and especially in the Client's best interest, in providing investment services and offering investment instruments.

Questions of the Investment Questionnaire

For the aforementioned reasons, the Bank hereby requests complete, precise and truthful answers to the following questions. If the Client does not fully understand any question or if he or she is not certain of its sense or formulation, the Bank will provide him or her with any necessary explanations.

QUESTION 1

Level of education, professional experience in finance

	YES	NO
Have you studied a field that deals with financial services?	<input type="checkbox"/>	<input type="checkbox"/>
Do you have longer (at least 2 years) working experience in financial services?	<input type="checkbox"/>	<input type="checkbox"/>

What types of investment services are you using or have you used?

- a) Portfolio management/assets administration/assets management
- b) Brokerage/placing orders/services of an investment intermediary
- c) Investment advisory

Note: In case of co-ownership, please provide the level of knowledge and number of operations jointly for all co-owners. In case of different values, provide the data about the co-owner with the lowest level of knowledge and/or who has carried out the least number of transactions.

QUESTION 2

Knowledge and experience in investing

Knowledge
 What do you think is the level of your knowledge with respect to the following financial instruments?
 (0 = none, 1 = low, 2 = medium, 3 = high)

Experience
 How many purchases/subscriptions have you made with the following investment instruments in the last 5 years?

Is this investment instrument currently contained in your portfolio?

For any questions not answered, the Bank will designate the answers as 0 and NO.

	0	1	2	3	0	1-3	4-6	>6	YES	NO
TYPE 1										
a) Money market funds										
b) Bonds and bond funds										
c) Structured bonds and guaranteed funds										
TYPE 2										
a) Balanced (mixed) funds										
b) Structured certificates (bonus certificates, express certificates, etc.)										
TYPE 3										
a) Shares and share funds										
b) Index certificates, ETFs										
c) Financial products issued by insurance companies (unit-linked funds)										
d) Warrants										
e) Alternative investments (hedge funds, etc.)										
f) Other funds (commodity, real estate, etc.)										

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