INVESTMENT QUESTIONNAIRE – NATURAL PERSON

Name, surname, corporate name	
Date of birth	
Client's address	
Street	
Town	
Postal code (or other similar postal code number)	
Client's internal number (NDG)	

(the **„Client“**)

Date	
Processed by	
Processor's ID	

UniCredit Bank Czech Republic, a.s. registered office Praha 1, Na Příkopě 858/20, postal code 111 21, identification no.: 649 48 242, entered in the Commercial Register maintained by the Metropolitan Court in Prague, Part B, entry 3608 (the **„Bank“**)

is obliged under the provisions of Act No. 256/2004 Sb., the Act on Business Activities on the Capital Market as amended (the „Act“), especially the provisions of Section 15h and Section 15i of the Act to obtain information about the Client's necessary professional knowledge and experience in investments (or his financial background and investment objectives). The Bank is doing so based on this Investment Questionnaire. Information required from the Client in this Investment Questionnaire will help the Bank proceed in a highly qualified, honest and just manner and in the Client's best interest in case of providing investment services and offering financial instruments.

Investment Questionnaire questions

Referring to the above given reasons, the Bank hereby asks for full, exact and true answers to the following questions. If the Client does not fully understand any question or if he is not certain of its sense or formulation, the Bank will provide him with all necessary explanations.

Question 1

Level of education, professional experience in finances

	YES	NO
Have you studied a branch dealing with financial services?		
Do you have longer (at least two-year) working experience in financial services?		

What types of investment services are you using or have you used?

a) Portfolio management/assets administration/assets management	
b) Brokerage/giving orders/investment intermediary's services	
c) Investment advisory	

Note: In case of co-ownership give the level of knowledge and the number of transactions joint for all co-owners.
In case of different values give the data about the co-owner with the lowest level of knowledge and/or who has carried out the lowest number of transactions.

Question 2

Knowledge and experience in investments	**Knowledge**				**Experience**					
	What do you think is the level of your knowledge with respect to the below given financial instruments? (0 = none, 1 = low, 2 = medium, 3 = high)				How many purchases/ subscriptions did you make with the below given financial instruments in the last five years?				Is this financial instrument currently contained in your portfolio?	
	If any questions are not answered, the Bank will designate the answers 0 and NO									
Typology 1	**0**	**1**	**2**	**3**	**0**	**1–3**	**4–6**	**>6**	**YES**	**NO**
a) Money market funds										
b) Bonds and bond funds										
c) Structured bonds and hedged funds										
Typologie 2										
a) Balanced (mixed) funds										
b) Structured certificates (bonus certificates, express certificates, etc.)										
Typology 3										
a) Shares and share funds										
b) Index certificates, ETF										
c) Financial products issued by insurance companies (capitalization unit)										
d) Warrants										
e) Alternative investments (hedge funds, etc.)										
f) Other funds (commodity, real property, etc.)										

Notes